

Please type a plus sign (+) inside this box → +

PTO/SB/01 (12-87)  
Approved for use through 9/30/00. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION—Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U. S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|---|---------------------------------|--------------------------------------|
|   |                                 |                                      |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer  
Number Bar Code  
Label here

| Name               | Registration Number | Name | Registration Number |
|--------------------|---------------------|------|---------------------|
| Craig N. Killen    | 35,218              |      |                     |
| John J. Horn       | 28,803              |      |                     |
| John M. Miller     | 38,560              |      |                     |
| William R. Walbrun | 37,464              |      |                     |

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto

Direct all correspondence to:

☐ Customer Number or  
Bar Code Label

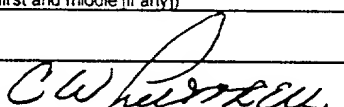
OR ☒ Correspondence address below

|         |                          |           |              |     |              |
|---------|--------------------------|-----------|--------------|-----|--------------|
| Name    | John J. Horn             |           |              |     |              |
| Address | Rockwell Automation      |           |              |     |              |
| Address | 1201 South Second Street |           |              |     |              |
| City    | Milwaukee                | State     | WI           | ZIP | 53204        |
| Country | US                       | Telephone | 414-382-3561 | Fax | 414-382-3900 |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

|  |   |                        |    |         |          |             |    |
|--|---|------------------------|----|---------|----------|-------------|----|
| Given Name (first and middle (if any)) |   | Family Name or Surname |    |         |          |             |    |
| Craig W. C. W.                         |   | Luttrell               |    |         |          |             |    |
| Inventor's Signature                   |  |                        |    | Date    | 12/19/99 |             |    |
| Residence: City                        | Loganville  | State                  | GA | Country | US       | Citizenship | US |
| Post Office Address                    | 1413 Arvlay Place   |                        |    |         |          |             |    |
| Post Office Address                    |   |                        |    |         |          |             |    |
| City                                   | Loganville  | State                  | GA | ZIP     | 30052    | Country     | US |

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|   |                          |   |
|---|--------------------------|---|
| <b>DECLARATION FOR UTILITY OR<br/>DESIGN<br/>PATENT APPLICATION<br/>(37 CFR 1.63)</b> | Attorney Docket Number   | 99RE166   |
|   | First Named Inventor     | Luttrell  |
|   | <b>COMPLETE IF KNOWN</b> |   |
|   | Application Number       | 09/410,163  |
|   | Filing Date              | 9/30/99   |
|   | Group Art Unit           | 3653  |
| <input type="checkbox"/> Declaration Submitted with Initial Filing                    | OR                       | <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) |
| Examiner Name   | Not Assigned             |   |

As a below named inventor, I hereby declare

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**ELECTRIC MOTOR STATOR ASSEMBLY HAVING NOVEL WINDING ARRANGEMENT AND METHOD OF MAKING SAME**

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) **9/30/99** as United States Application Number or PCT International

Application Number **09/410,163** and was amended on (MM/DD/YYYY)  (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed     | Certified Copy Attached? |                          |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
|                                     |         |                                  |                          | YES                      | NO                       |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) |
|-----------------------|--------------------------|
|                       |                          |

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

ASSIGNMENT OF INVENTION  
TO  
RELIANCE ELECTRIC INDUSTRIAL COMPANY

C. W.

WHEREAS, ~~Craig W.~~ Luttrell of Loganville, State of Georgia (hereinafter sometimes referred to as "said inventor"), has invented certain new and useful improvements in ELECTRIC MOTOR STATOR ASSEMBLY HAVING NOVEL WINDING ARRANGEMENT AND METHOD OF MAKING SAME which invention (hereinafter sometimes referred to as "said invention") has been disclosed to and filed, under Docket No. 99RE166, in the patent files of inventor's employer, RELIANCE ELECTRIC INDUSTRIAL COMPANY, and which invention is covered by a patent application, executed and filed on September 30, 1999 as USSN 09/410,163 in the Patent and Trademark Office for the United States of America.

99RE166  
T03100-6611660  
NOW THEREFORE, it is agreed that, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, said inventor has sold, assigned and transferred and by these present does sell, assign and transfer unto RELIANCE ELECTRIC INDUSTRIAL COMPANY, the entire right, title and interest in said invention in the United States of America and all foreign countries and in and to said U.S. patent application and all divisions, substitutions, continuations and continuations-in-part thereof, and in all United States Letters Patents which may be granted thereon and all reissues and extensions thereof, and in all priority rights under the International Convention for the Protection of Industrial Property for every member country, and in all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said invention in any foreign countries, and in all patents (including all extensions, renewals and reissues thereof) granted for said invention; said applications and patents to be held and enjoyed by the said RELIANCE ELECTRIC INDUSTRIAL COMPANY, for its own use and for the use of its successors and assigns, to the full end of the term for which Letters Patent on same may be granted, as fully and entirely as the same would have been held by said inventor had this assignment and sale not been made.

FURTHER, said inventor hereby agrees to sign all lawful papers necessary or helpful in obtaining patents on said invention or in filing any divisional, continuation, substitution, or reissue patent application or re-examination proceedings on said invention whether in the United States of America or in any foreign country.

Executed at ATLANTA, Georgia this 9 day  
of DECEMBER, 1999.

C.W. Luttrell 12/9/99  
~~Craig W.~~ Luttrell, Inventor  
C.W.

State of Georgia

County of CLARKE

C.W. On this 9<sup>th</sup> day of December, 1999, before me personally appeared  
~~Craig W.~~ Luttrell to me known to be the person whose name is subscribed to the foregoing  
instrument, and acknowledge to me that he executed the same.

Krinda Lee  
Notary Public  
My Commission Expires June 13, 2003  
Notary Public, Madison County

(SEAL)